

PRIVACY NOTICE

WESSEL INVESTMENT COUNSEL, L.L.C.

Wessel Investment Counsel, L.L.C. ("WIC") believes it is essential to maintain the privacy of the nonpublic personal information that you provide to it and that it obtains in connection with providing its services to you.

WIC limits the use, collection, and retention of such information to what it believes is necessary or useful to conduct its business and to provide and offer you quality services and other opportunities that may be of interest to you. Information WIC collects from you may include, but is not limited to, name, address, telephone number, tax identification number, date of birth, employment status, annual income, and net worth.

In providing services to you, WIC collects nonpublic personal information about you from these sources:

- Information WIC receives from you on applications or other forms such as investment/insurance applications, new account forms, and other forms and agreements;
- Information about your transactions with WIC, or other entities such as broker/dealers, clearing firms, or other investment sponsors; and
- Information WIC receives from consumer reporting agencies, as well as other various materials WIC may use to put forth an appropriate recommendation or to fill a service request.

WIC places strict limits on who receives specific information about your account(s) and other personally identifiable data. Because WIC relies upon certain third parties for services that enable it to provide its advisory services to you, WIC may share nonpublic personal information about you with certain attorneys, auditors, consultants, brokers, and custodians with whom WIC deals in the ordinary course of business. Additionally, WIC will share such information such as a court order where required by legal or judicial process or otherwise to the extent permitted under the federal privacy laws.

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WIC may also disclose such information to others upon your instructions below listing applicable persons and you may amend this provision, and/or rescind your request at any time in writing. Your signature below indicates your understanding and acceptance that WIC may share such information.

In limited circumstances, WIC may share information with nonaffiliated third parties who provide a product or service that WIC believes may benefit you. Whenever WIC does this, WIC's policy is to share only that amount of information WIC believes is necessary for that provider to offer its product or service to you. Moreover, WIC will carefully review the provider and the product or service in an effort to ensure it has the potential to benefit you. Where WIC provides personally identifiable information about you to a third party with which WIC has a business relationship, WIC will insist that the third party keep such information confidential in a manner that is broadly consistent with the conduct of WIC's business relationship with you.

If you prefer that WIC not disclose confidential personal information about you to nonaffiliated third parties who provide a product of service that WIC feels may benefit you, you may direct WIC to not make disclosures to such nonaffiliated third parties below.

WIC restricts access to nonpublic personal information about you to those persons associated with WIC, who need access to such information in order to provide services to you. WIC maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

If you decide to close your account(s) or become an inactive client, WIC will adhere to the privacy policies and practices as described in this notice.

WIC reserves the right to change these Privacy Principles, and any of the policies or

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procedures described above, at any time without prior notice. However, WIC will promptly provide you with a current copy of its privacy notice upon material changes or upon your request. So long as you remain an active client, you will receive a current copy of WIC's privacy notice at least annually.

These Privacy Principles are for general guidance and do not constitute a contract or create legal rights, and do not modify or amend any agreements WIC has with you.

If you have questions about this privacy policy, or if you wish to amend the list of service providers you have provided below, please contact Glenn Wessel at:

Local: (828) 232-2000
Toll-free: (888) 232-2087
Email: gwessel@wesselinvestment.com

I/We give permission to WIC to disclose nonpublic personal information to the designated person(s) listed below.

Name: _____
Relationship _____
Mailing Address _____
Phone Number _____
Email Address _____

Client Signature Print Name Date

Joint Client Signature Print Name Date